



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: WALDMANN *et al.*

Serial No.: 09/849,499

Filing Date: May 4, 2001

Atty Dkt: 1324.028

Group Art Unit: 1641

Examiner: Unassigned

Title: METHOD FOR PRODUCING  
DENDRITIC CELLS

Certificate of Mailing Under 37 CFR §1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231, on October 5, 2001.

Candice J. Clement  
Attorney for Applicants  
Reg. No. 39,946

Date of Signature: October 5, 2001

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**  
*Filing Date Granted*

Dear Sir:

This is filed in response to the Formalities Letter mailed July 5, 2001 in connection with the above-captioned patent application. In light of the accompanying Request for Extension of Time, a reply to the Letter is due by October 5, 2001 and this paper is timely filed.

Enclosed herewith, and forming a part of this response, are:

- a copy of the Formalities Letter;
- an executed Declaration and Power of Attorney;
- a Supplemental Preliminary Amendment;
- a check in the amount of \$669.00, which includes
  - \$370.00 basic filing fee;
  - \$234.00 for 26 additional claims; and
  - \$65.00 surcharge for late filing of Declaration/filing fee;
- a Request for Extension of Time;
- a check in the amount of \$55.00 for a one month extension of time;
- a request for a corrected Filing Receipt; and
- a copy of the cover page of the Filing Receipt.

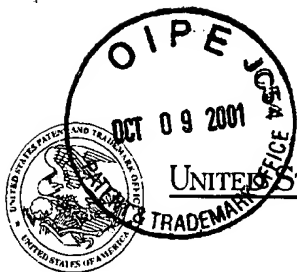
Although Applicants believe the appropriate fees to be enclosed, the Commissioner is authorized to charge any deficiency in fees, and to credit any overpayment, to Deposit Account 08-1935.

Respectfully submitted,

Date: October 5, 2001

Candice J. Clement

Candice J. Clement, Esq.  
Attorney for Applicants  
USPTO Registration Number 39,946  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/849,499	05/04/2001	Herman Waldmann	1324.028

CONFIRMATION NO. 8699

23405  
HESLIN & ROTHENBERG, PC  
5 COLUMBIA CIRCLE  
ALBANY, NY 12203

## FORMALITIES LETTER



\*OC000000006263511\*

Date Mailed: 07/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$396.
  - \$261 for 29 total claims over 20.
  - \$135 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 816.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/11/2001 KZENDIE 00000044 09849499  
01 FC:201 370.00 OP  
02 FC:205 65.00 OP  
03 FC:203 234.00 OP



Receipt #3

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*Candice J. Clement*

Candice J. Clement  
Attorney for Applicants  
Reg. No. 39,946

Date of Signature: October 5, 2001

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Dear Sir:

The following errors are noted on the enclosed copy of the Official Filing Receipt for the above-captioned patent application:

Total Claims should be -- 46 --

Foreign Applications should read -- UNITED KINGDOM 9824306.6 11/05/1998 --

Applicants request a corrected Official Filing Receipt.

Date: October 5, 2001

Respectfully submitted,

*Candice J. Clement*

Candice J. Clement, Esq.  
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USPTO Registration Number 39,946  
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